

# **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, on the date indicated below, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

16 April 2004

Daniel B. Schein Date

Daniel B. Schein, Ph. D., Esq. Reg. No. 33,551

Our Case No.: 11168-4

## **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Michael CLARK and Anthony MARPLES

Examiner: John S. GOETZ

Serial No.: 10/619,166

Group Art Unit No.: 3725

Filing Date: July 10, 2003

For: APPARATUS FOR REDUCING  
TENSION VARIATIONS IN A  
METAL STRIP.

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

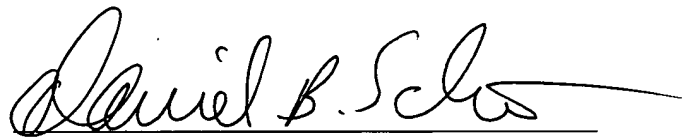
In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. The Examiner is respectfully reminded that this application is a continuation of U.S. application 09/930,896, and that certain art on the attached Form PTO-1449 has been submitted in the parent application.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

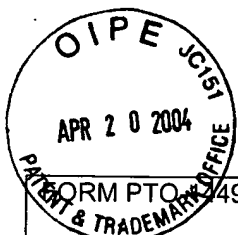
Respectfully submitted,

A handwritten signature in cursive script, reading "Daniel B. Schein", written over a horizontal line.

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16 April 2004

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FORM PTO 149	SERIAL NO. 10/619,166	CASE NO. 11168-4
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 10, 2003	GROUP ART UNIT 3725
(use several sheets if necessary)	APPLICANT(S): Michael CLARK and Anthony MARPLES	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,391,417	7/5/1983	Gronbech		
	A2	4,463,586	8/1984	Griffin		
	A3	4,736,605	4/1988	Klockner et al.		
	A4	4,905,491 (abstract)	3/6/1990	Starke et al.		
	A5	4,934,224	6/1990	Brown		
	A6	4,964,587	10/1990	Oki et al.		
	A7	5,176,334	01/1993	Dreschau et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A8	58-13411	01/1983	Japan		
	A9	GB 2,074,138 A	10/28/1981	United Kingdom		
	A10	WO 99/55474	04/20/1999	PCT		
	A11	DE 1981207	10/28/1999	Germany		

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	A12	Acesita Steckel Modifications Hot Strip Rolling Mill Project Evaluation Design Philosophy, Part 3, Section 3.2 of May 9, 1995 Proposal, Pages 1-14.
	A13	Acesita Steckel Modifications Hot Strip Rolling Mill Automation Functional Description, Part 7, Section 3 of May 9, 1995 Proposal, Pages 1-60

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.